

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name(s). I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL AUTHORITY

the specification of which (*check one*)

- ☐ is attached hereto; or
☐ was filed on _____ as application serial no. _____ and was amended on _____ (if applicable); or
☐ was described and claimed in international application no. _____ filed on _____ and as amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above, and that it contains a full, clear, concise and exact description of the subject matter for which a patent is sought.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

Prior Application(s)

☐ (Check if applicable) I hereby claim foreign priority benefits under Title 35, United States Code § 119, by checking the box(es) below, any foreign application(s) for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Priority Claimed?

☐ yes ☐ no

(Number) (Country) (Day/month/year filed)

☐ yes ☐ no

(Number) (Country) (Day/month/year filed)

☐ (Check if applicable) I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in the Title 37, Code of Federal Regulations § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of the application:

(Application Number)	(Day/month/year filed)	(Status – Issued, pending, abandoned)
09/219,267	December 23, 1998	Pending
(Application Number)	(Day/month/year filed)	(Status – Issued, pending, abandoned)
08/943,483	October 3, 1997	Pending
(Application Number)	(Day/month/year filed)	(Status – Issued, pending, abandoned)
08/923,683	September 4, 1997	Pending
(Application Number)	(Day/month/year filed)	(Status – Issued, pending, abandoned)
08/889,319	July 8, 1997	Pending
(Application Number)	(Day/month/year filed)	(Status – Issued, pending, abandoned)

09/100,684 (Application Number)	June 19, 1998 (Day/month/year filed)	Pending (Status - Issued, pending, abandoned)
08/982,149 (Application Number)	December 1, 1997 (Day/month/year filed)	Pending (Status - Issued, pending, abandoned)
08/994,426 (Application Number)	December 19, 1997 (Day/month/year filed)	Pending (Status - Issued, pending, abandoned)
08/920,116 (Application Number)	August 26, 1997 (Day/month/year filed)	Pending (Status - Issued, pending, abandoned)
08/822,709 (Application Number)	March 21, 1997 (Day/month/year filed)	Pending (Status - Issued, pending, abandoned)

Prior U.S. Application(s)

☐ (Check if applicable) In this continuation-in-part application, insofar as the subject matter of any of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in the Title 37, Code of Federal Regulations § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of the application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge and the like so made are punishable by fine or imprisonment, or both under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint Jeffrey L. Brandt - Reg. No. 31,490, Robert R. Lech - Reg. No. 37,169 and Dean Alderucci - Reg. No. 40,484 as my attorneys and Charles A. Rattner - Reg. No. 40,136 as patent agent, all of Walker Digital Corporation, whose address is Five High Ridge Park, Stamford, Connecticut 06905-1326 with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all written correspondence to:

Dean Alderucci
Walker Digital Corporation
Five High Ridge Park
Stamford, Connecticut 06905-1326
Phone (203) 705-3006
Fax (203) 595-8266

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

Full name of sole or first inventor: Jay S. WALKER
Residence: 124 Spectacle Lane, Ridgefield, CT 06877
Post Office Address: same as above
Citizenship: U.S.A.

Inventor's signature 

Date March^A, 1999

09/100,684 - 08/982,149

Full name of second inventor: Daniel E. TEDESCO
Residence: 192 Park Street, Apt. 6, New Canaan, Connecticut 06840
Post Office Address: same as above
Citizenship: U.S.A.

Inventor's signature _____

Date March 22, 1999

Full name of third inventor: Stephen C. TULLEY
Residence: 15 River Place, Stamford, CT 06907
Post Office Address: same as above
Citizenship: U.S.A.

Inventor's signature _____

Date March 22, 1999

Full name of fourth inventor: John M. PACKES
Residence: 21 Frankford Street, Hawthorne, NY 10532-1950
Post Office Address: same as above
Citizenship: U.S.A.

Inventor's signature _____

Date March 22, 1999

Full name of sole or fifth inventor: ~~Dierdre O'SHEA~~
Residence: 10 Manhattan Avenue, Apt. 2A, New York, NY 10025
Post Office Address: same as above
Citizenship: U.S.A.

Inventor's signature _____

Date March 22, 1999

Full name of sixth inventor: Keith BEMER
Residence: 225 East 95th Street, Apt. 34B New York, NY, 10019
Post Office Address: same as above
Citizenship: U.S.A.

Inventor's signature _____

Date March 22, 1999

Full name of seventh inventor: James A. JORASCH
Residence: 25 Forest Street, Apt. 5G, Stamford, CT 06901
Post Office Address: same as above
Citizenship: U.S.A.

Inventor's signature _____

Date March 22, 1999

Full name of sole or eighth inventor: Dean P. ALDERUCCI
Residence: 19-8 Prospect Ridge Road, Ridgefield, CT 06877
Post Office Address: same as above
Citizenship: U.S.A.

Inventor's signature _____

Date March 22, 1999

Full name of ninth inventor: Magdalena MIK
Residence: 10 South New Street, Greenwich, CT 06830
Post Office Address: same as above
Citizenship: U.S.A.

Inventor's signature _____

Date March 22, 1999

09274231 032290

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN**

Docket No.
WD2-99-006

Serial No.
Not Assigned

Filing Date
March 22, 1999

Patent No.
Not Assigned

Issue Date
Not Assigned

Applicant/ Jay S. WALKER et al.
Patentee:

Invention: **METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL AUTHORITY**

I hereby declare that I am:

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **WALKER DIGITAL CORPORATION**

ADDRESS OF CONCERN: **Five High Ridge Park, Stamford, Connecticut 06905-1326**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

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Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below.

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Jay S. Walker, President, Walker Digital Corporation

TITLE OF PERSON SIGNING

OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING:

124 Spectacle Lane, Ridgefield, Connecticut 06877

SIGNATURE:



DATE:

March 9, 1999

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Jay S. Walker et al.

Docket No.

WD2-99-006

Serial No.
Not AssignedFiling Date
March , 1999Examiner
Not AssignedGroup Art Unit
Not AssignedInvention: **METHOD AND APPARATUS FOR PROVIDING CROSS-BENEFITS VIA A CENTRAL AUTHORITY**I hereby certify that this **PATENT APPLICATION***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on
March 27, 1999
(Date)

Sylvia Gonzalez

(Typed or Printed Name of Person Mailing Correspondence)
(Signature of Person Mailing Correspondence)

EL117182937US

("Express Mail" Mailing Label Number)

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